

Alexandria, VA 22314

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspo.gov

69/813,936         03/22/2001         Wayne Morgan John         713-409         1825           Benjamin J. Hauptman         CHEVALIER, ALICIA ANN           LOWE HAUPTMAN GILMAN & BERNER, LLP         Suite 310         ART UNIT         PAPER NUMBER	APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
Benjamin J. Hauptman CHEVALIER, ALICIA ANN LOWE HAUPTMAN GILMAN & BERNER, LLP	09/813,936	03/22/2001	Wayne Morgan John	713-409	1825
LOWE HAUPTMAN GILMAN & BERNER, LLP	75	90 06/16/2004		EXAM	INER
				CHEVALIER, ALICIA ANN	
		MAN GILMAN & BEI	RNER, LLP	ADTIBUT	D. DED SUMBED
	1700 Diagonal Road			1772	

DATE MAILED: 06/16/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

PTO-90C (Rev. 10/03)

	Application No.	Applicant(s)	
0.00	09/813,936	JOHN ET AL.	
Office Action Summary	Examiner	Art Unit	
	Alicia Chevalier	1772	
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet w	ith the correspondence addre	95S
* *	(IO DET TO EVOIDE ON	OUTIVO EDOL	
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  If the period for reply specified above is less than thirty (30) days, a reply If NO period for reply is specified above, the maximum statutory period we Faiture to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a r within the statutory minimum of thin ill apply and will expire SIX (6) MON	reply be timely filed  ty (30) days will be considered timely.  ITHS from the mailing date of this comm	nunication.
Status			
1) Responsive to communication(s) filed on 05 Ap	oril 2004.		
	action is non-final.		
3) Since this application is in condition for allowan	ce except for formal matt		erits is
closed in accordance with the practice under Ex	x parte Quayle, 1935 C.D	. 11, 453 O.G. 213.	
Disposition of Claims			
4) Claim(s) 6,7,9,11-14,16,17,21,35-46 and 48-61	is/are pending in the ope	dication	
4a) Of the above claim(s) is/are withdraw		meation.	
5)⊠ Claim(s) <u>6,7,9,11-14,16,17 and</u> 21 is/are allowe			
6)⊠ Claim(s) <u>35-39,43,44,49-55,57,59</u> and 61 is/are			
7) Claim(s) 40-42,45,46,48,56,58 and 60 is/are ob			
8) Claim(s) are subject to restriction and/or	•		
Application Papers			
9) The specification is objected to by the Examiner			
10) The drawing(s) filed on is/are: a) acce		ov the Examiner.	
Applicant may not request that any objection to the d			
Replacement drawing sheet(s) including the correction			I.121(d).
11) The oath or declaration is objected to by the Exa			
Priority under 35 U.S.C. § 119			
12) Acknowledgment is made of a claim for foreign p  a) All b) Some * c) None of:	priority under 35 U.S.C. §	119(a)-(d) or (f).	
1. Certified copies of the priority documents	have been received.		
2. Certified copies of the priority documents		oplication No	
3. Copies of the certified copies of the priorit			ae
application from the International Bureau			-
* See the attached detailed Office action for a list o	f the certified copies not r	received.	
attachment(s)			
) Notice of References Cited (PTO-892)		ummary (PTO-413)	
Notice of Draftsperson's Patent Drawing Review (PTO-948)		/Mail Date , formal Patent Application (PTO-152	<b>3</b> )
) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date 3 2 10 1		iormal naterit Application (PTO-152	د)
Paper No(s)/Mail Date 312.100	6) 🔲 Other:	_•	

Application/Control Number: 09/813,936

Art Unit: 1772

### RESPONSE TO AMENDMENT

Page 2

1. Claims 6, 7, 9, 11-14, 16, 17, 21, 35-46 and 48-61 are pending in the application, claims 1-5, 8, 10, 15, 18-20 and 22-34 have been cancelled.

 Amendments to the claims, filed on April 5, 2004, have been entered in the aboveidentified application.

### WITHDRAWN REJECTIONS

3. The 35 U.S.C. §112, first paragraph rejection of claims 35-46 and 48-57, made of record in paper #15, mailed January 21, 2004, pages 3-4, paragraph #12 have been withdrawn due to Applicant's arguments in the response filed April 5, 2004 on page 9, the 4<sup>th</sup> paragraph.

#### **NEW REJECTIONS**

4. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

## Claim Rejections - 35 USC § 103

5. Claims 35-39, 43, 44, 49-55, 57, 59 and 61 are rejected under 35 U.S.C. 103(a) as being unpatentable over Harvison (U.S. Patent No. 5,380,549) in view of Hedblom et al. (U.S. Patent No. 5,593,246) and evidenced by Applicant's specification.

Harvison discloses an anti-slip tile (col. 5, line 49) for providing anti-slip and retroreflective (title) properties to roadways and other traffic surfaces (col. 1, lines 13-19).

Regarding Applicant's claims 35 and 37, Harvison discloses an anti-slip panel (i.e. tile, col. 5, line 49) comprising a substrate (i.e. base, col. 5, line 57) and an anti-slip coating (i.e. anti-slip system, col. 5, line 61). The substrate has a working surface, i.e. the surface with the anti-slip coating, and is made of a first material (i.e. glass fibre, col. 5, line 58) and is deemed to have a first hardness. The anti-slip coating is on the working surface of the substrate (figure 5) and made of a second material (i.e. aluminum oxide particles, col. 2, lines 37-38) that is deemed to have a second hardness. Applicant discloses that known anti-slip aggregate, such as aluminum oxide or silicon carbide, is a very hard, sharp particulate material and is very difficult to cut or drill (Applicant's specification, page 1, lines 9-21). Since aluminum oxide particles are difficult to cut the anti-slip coating is deemed to be cut-resistant. Furthermore, since aluminum oxide particles are known to be very hard and difficult to cut, the second hardness is deemed to be greater than the first hardness.

Harvison fails to disclose that the coating defines a pattern of uncoated, cutting lines on the working surface of the substrate.

Hedblom discloses a pavement marking (title) that provides skid-resistant, i.e. anti-slip, and reflective properties to pavement, i.e. road, surfaces (col. 1, lines 5-8).

Hedblom discloses an anti-slip panel (i.e. pavement marking, col. 3, line 41) comprising a substrate (i.e. base sheet, col. 3, line 41) and an anti-slip coating (skid-resistant particles, col. 4, line 9) on a working surface of the substrate (figure 2). The coating defining patterns of uncoated lines on the working surface of the substrate, since the reference discloses that the substrate has protuberances and the coating is only applied to the protuberances (col. 4, lines 6-14 and figure 1). The cutting lines are defined as lines on the substrate that do not have the anti-

slip coating, i.e. a discontinuous anti-slip coating, therefore the uncoated line regions of the anti-slip coating in Hedblom are deemed to be cutting lines. Figure 1 in Hedblom shows that at least two of the cutting lines extend continuously and intersect each other. Also, regarding Applicant's claim 36, Hedblom discloses that the working surface is exposed along the cutting lines, since the reference discloses that the anti-slip coating, i.e. ski-resistant particles are discontinuously applied to the substrate (col. 4, lines 6-11 and figure 1).

The patterned panel of Hedblom provides an area for water to reside in the event rain falls on the anti-slip panel and allows light transmission to and from the panel to occur without being impaired by the presence of water (col. 3, lines 43-48).

Harvison and Hedblom are analogous because they both discuss anti-slip panels for the pavement or roadway.

It would have been obvious to one of ordinary skill in the art at the time of the invention to use Hedblom's anti-slip coating pattern in the anti-slip panel of Harvison in order to provide a discontinuous anti-slip coating. One of ordinary skill in the art would have been motivated to use Hedblom's discontinuous anti-slip coating pattern because it provides an area for water to reside in the event rain falls on the anti-slip panel and allows light transmission to and from the panel to occur without being impaired by the presence of water (col. 3, lines 43-48).

Regarding Applicant's claim 38, Harvison discloses the panel further comprising a base resin (i.e. first coat of paint, col. 2, line 32) disposed between the working surface and the substrate and the coating, the base resin bonding the second material of the coating to the working surface of the substrate (col. 2, lines 29-36).

Regarding Applicant's claim 39, Harvison discloses the coating is made of a plurality of particles (*i.e. aluminum oxide particles, col. 2, lines 37-38*) of the second material and the base resin (*i.e. first coat of paint, col. 2, line 32*) bonding the particles together (*col. 2, lines 29-36*).

Regarding Applicant's claim 43, Harvison discloses the panel further comprises a top basin (i.e. second coat of paint, col. 2, line 45) formed over the coating (i.e. aluminum oxide particles, col. 2, lines 37-38) and the cutting lines (col. 2, lines 44-46).

Regarding Applicant's claim 44, Harvison discloses that the base resin (i.e. first coat of paint, col. 2, line 32) and the top basin (i.e. second coat of paint, col. 2, line 45) are made of the same material, since the reference discloses both layers are paint (col. 2, lines 32-46).

Regarding Applicant's claims 49-51 and 57, Harvison discloses an anti-slip panel (i.e. tile, col. 5, line 49) comprising a substrate (i.e. base, col. 5, line 57) and an anti-slip coating (i.e. anti-slip system, col. 5, line 61). The substrate has a working surface, i.e. the surface with the anti-slip coating, and is made of a first material (i.e. glass fibre, col. 5, line 58) and is deemed to have a first hardness. The working surface is deemed to be adapted to be stepped on, since the panel is designed for roadways and other surfaces (col. 1, lines 13-19) that people walk or drive on. The anti-slip coating is on the working surface of the substrate (figure 5) and made of a second material (i.e. aluminum oxide particles, col. 2, lines 37-38) that is deemed to have a second hardness. Applicant discloses that known anti-slip aggregate, such as aluminum oxide or silicon carbide, is a very hard, sharp particulate material and is very difficult to cut or drill (Applicant's specification, page 1, lines 9-21). Since aluminum oxide particles are difficult to cut the anti-slip coating is deemed to be cut-resistant. Furthermore, since aluminum oxide

particles are known to be very hard and difficult to cut the second hardness is deemed to be greater than the first hardness.

Harvison fails to disclose that the working surface has at least one coated region and at least one uncoated region, wherein the uncoated region is devoid of the second material and extends continuously from one edge of the substrate, thereby defining an uncoated cutting line along which the substrate can be cut without cutting the cut-resistant anti-slip coating and the working surface is exposed in the uncoated region.

Hedblom discloses a pavement marking (title) that provides skid-resistant, i.e. anti-slip, and reflective properties to pavement, i.e. road, surfaces (col. 1, lines 5-8).

Hedblom discloses an anti-slip panel (i.e. pavement marking, col. 3, line 41) comprising a substrate (i.e. base sheet, col. 3, line 41) and an anti-slip coating (skid-resistant particles, col. 4, line 9) on a working surface of the substrate (figure 2). The coating defining patterns of uncoated lines on the working surface of the substrate, since the reference discloses that the substrate has protuberances and the coating is only applied to the protuberances (col. 4, lines 6-14 and figure 1). The cutting lines are defined as lines on the substrate that do not have the anti-slip coating, i.e. a discontinuous anti-slip coating, therefore the uncoated line regions of the anti-slip coating in Hedblom are deemed to be cutting lines.

Figure 1 in Hedblom shows the working surface has at least one coated region and at least one uncoated region, wherein the uncoated region is devoid of the second material and extends continuously from one edge of the substrate, thereby defining an uncoated cutting line and the working surface is exposed in the uncoated region. Since the cutting line is uncoated it is deemed that the substrate can be cut along the cutting line, without cutting the cut-resistant anti-

slip coating. Regarding claims 51 and 57, Figure 1 in Hedblom shows that at least two of the cutting lines extend continuously and intersect each other and that the uncoated region completely surrounds the coated region.

The patterned panel of Hedblom provides an area for water to reside in the event rain falls on the anti-slip panel and allows light transmission to and from the panel to occur without being impaired by the presence of water (col. 3, lines 43-48).

Harvison and Hedblom are analogous because they both discuss anti-slip panels for the pavement or roadway.

It would have been obvious to one of ordinary skill in the art at the time of the invention to use Hedblom's anti-slip coating pattern in the anti-slip panel of Harvison in order to provide a discontinuous anti-slip coating. One of ordinary skill in the art would have been motivated to use Hedblom's discontinuous anti-slip coating pattern because it provides an area for water to reside in the event rain falls on the anti-slip panel and allows light transmission to and from the panel to occur without being impaired by the presence of water (col. 3, lines 43-48).

Regarding Applicant's claim 52, Harvison discloses the panel further comprising a base resin (i.e. first coat of paint, col. 2, line 32) on the working surface in the coated region, wherein the coating includes a plurality of particles (i.e. aluminum oxide particles, col. 2, lines 37-38) of the second material, the particles being embedded in the base resin (col. 2, lines 29-36 and figure 1).

Regarding Applicant's claim 53, Harvison discloses the particles are made of aluminum oxide (i.e. aluminum oxide particles, col. 2, lines 37-38).

Regarding Applicant's claim 54, Harvison discloses the panel further comprises a top basin (i.e. second coat of paint, col. 2, line 45) formed over the coating (i.e. aluminum oxide particles, col. 2, lines 37-38) and the uncoated region of the working surface (col. 2, lines 44-46).

Regarding Applicant's claim 55, Harvison discloses that the base resin (i.e. first coat of paint, col. 2, line 32) and the top basin (i.e. second coat of paint, col. 2, line 45) are made of the same material, since the reference discloses both layers are paint (col. 2, lines 32-46).

Regarding Applicant's claims 59 and 61, Harvison discloses the second material is aluminum oxide (i.e. aluminum oxide particles, col. 2, lines 37-38).

### Allowable Subject Matter

- 6. Claims 6, 7, 9, 11-14, 16, 17 and 21 are allowed.
- 7. Claims 40-42, 45, 46, 58, 56, 58 and 60 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

These claims are allowable because they recite features that are not taught or suggested in the prior art of record.

### REASONS FOR ALLOWANCE

8. The following is an examiner's statement of reasons for allowance:

The allowable base claims are: 6 and 16.

The closest prior art found is summarized above.

The prior art fails to teach or suggest the recited structural limitations of claim 6, such as a weather-resistant anti-slip panel comprising a cut-resistant anti-slip coating on a working surface of an inflexible substrate and a pattern of uncoated, cutting lines on the substrate, wherein the substrate is an unsaturated polyester based on an orthophthalic resin filled with eglass fibre and has a Shore D hardness of between 80 and 100.

The prior art also fails to teach or suggest the recited structural limitations of claim 16, such as, a weather-resistant anti-slip panel comprising a cut-resistant anti-slip coating on a working surface of an inflexible substrate and a pattern of uncoated, cutting lines on the substrate, wherein the substrate is an unsaturated polyester based on an orthophthalic resin filled with e-glass fibre and has a maximum deflection of 25° when 1 kg is suspended from a fixed panel test piece 100 mm long x 20 mm wide x 3-3.5 mm thick.

In sum, the prior art of record fails to teach or suggest a weather resistant anti slip panel having all the features of the base claims.

9. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### ANSWERS TO APPLICANT'S ARGUMENTS

10. Applicant's arguments in the response filed April 5, 2004 regarding the 35 U.S.C. §112, first paragraph rejection of record have been considered but are most since the rejections have been withdrawn.

#### Conclusion

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Alicia Chevalier whose telephone number is (571) 272-1490. The examiner can normally be reached on Monday through Friday from 8:00 am to 4:00 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Harold Pyon, can be reached on (571) 272-1498. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Alicia Chevaliu

6/14/04